



# Office of Campaign Finance

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**PERIODIC AUDIT REPORT ON  
RETA JO LEWIS FOR MAYOR 2014  
PRINCIPAL CAMPAIGN COMMITTEE**

**MAY 2014**

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**REPORTS ANALYSIS AND AUDIT DIVISION  
OFFICE OF CAMPAIGN FINANCE  
WASHINGTON, DC 20009**

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## **I. BACKGROUND**

### **A. OVERVIEW**

The Reta Jo Lewis for Mayor 2014 Principal Campaign Committee (“Committee”) registered with the Office of Campaign Finance (OCF) on July 16, 2013, in accordance with D.C. Official Code Section 1-1163.07(1). The Committee filed the October 10, 2013 Report of Receipts and Expenditures on October 10, 2013.

The periodic field audit of the Committee’s reports, statements, and records covered the period August 11, 2013 through October 10, 2013. The October 10, 2013 Report filed by the Committee reflected total aggregate receipts of \$92,554.01 and expenditures of \$76,474.07 with an ending cash balance of \$78,818.81. Total receipts and expenditures per **audit** were \$91,554.01 and \$75,409.03, respectively, with an ending cash balance of \$64,182.60.

### **B. SCOPE**

The audit procedures performed included a verification and/or examination of:

1. The mathematical accuracy of the Reports of Receipts and Expenditures filed with the Director, Office of Campaign Finance, during the period audited;
2. Total reported receipts and expenditures and individual transactions with source documents;
3. The conformity with the contribution limitation as mandated by D.C. Official Code Section 1-1163.33(a)(1);
4. The Committee debts and obligations;
5. The proper categorization of the Committee's receipts and expenditures;
6. The review procedures as deemed necessary under the circumstances.

## **II. AUDITOR’S STATEMENT**

The underlying issues as stated in the Statement of Findings issued on March 5, 2014, pertained to:

1. Three (3) receipts totaling \$1,000.00 that were not negotiated through the bank.
2. Two (2) expenditures totaling \$1,065.04 that were not negotiated through the bank.

3. Forty one (41) receipts totaling \$19,055.00 in which proper documentation was not provided.
4. Thirteen (13) expenditures totaling \$11,670.48 in which proper documentation was not provided.

In the Statement of Findings issued on March 5, 2014, the Audit staff recommended that the Committee provide evidence and documentation to support and/or substantiate the aforementioned discrepancies.

On March 23, 2014, the Committee provided the evidence and documentation as recommended by the Audit staff in the Statement of Findings.

Therefore, it is the opinion of the Audit staff, based upon the audit of the Report of the Receipts and Expenditures filed, the financial records presented, and all responses provided by the Committee, that the accounting of the receipts and expenditures is in compliance with the provisions of D.C. Official Code Section 1-1163.09.

### RECOMMENDATION

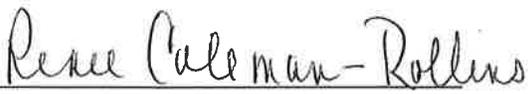
We, therefore, recommend that this Report be issued as a "Periodic Audit Report". We have determined that the Report filed by **Reta Jo Lewis for Mayor 2014**, with the Director, Office of Campaign Finance, is in substantial compliance with the D.C. Official Code Section 1-1163.09.

  
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Dwayne A. Gilliam, Sr.  
Supervisory Auditor

5/5/14  
\_\_\_\_\_  
Date

### CONCURRENCE

In view of the forgoing, I HEREBY CONCUR with the Recommendation.

  
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Renee Coleman-Rollins  
Audit Manager

5/5/14  
\_\_\_\_\_  
Date

### **AUDIT APPROVED FOR RELEASE:**

  
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Cecily E. Collier-Montgomery  
Director  
Office of Campaign Finance

5/5/14  
\_\_\_\_\_  
Date