



Office of Campaign Finance

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AUDIT REPORT  
ON  
CHARLES ALLEN FOR WARD 6  
PRINCIPAL CAMPAIGN COMMITTEE  
2014 ELECTION YEAR  
NEWLY ELECTED OFFICIAL

NOVEMBER 2015

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REPORTS ANALYSIS AND AUDIT DIVISION  
OFFICE OF CAMPAIGN FINANCE  
WASHINGTON, DC 20009

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## **I. BACKGROUND**

### **A. OVERVIEW**

This report is based upon a field audit of the Statements and Reports of Receipts and Expenditures filed by the **Charles Allen for Ward 6** Principal Campaign Committee ("the Committee") undertaken by the Reports Analysis and Audit Division, Office of Campaign Finance (OCF), to determine whether the Committee has complied with the reporting requirements of D.C. Official Code Section 1-1163.09.

#### **1. Candidate**

Charles Allen, a candidate in the 2014 Primary and General Elections for the Office of Member of the Council, Ward 6, registered with the OCF on October 11, 2013, in accordance with D.C. Official Code Section 1-1163.12.

The field audit of the candidate's Statements covered the period June 11, 2014 through July 31, 2015. The candidate, in his Statement of Candidacy, designated the **Charles Allen for Ward 6** as his Principal Campaign Committee.

#### **2. Principal Campaign Committee**

The Charles Allen for Ward 6 Principal Campaign Committee registered with the OCF on October 11, 2013, in accordance with D.C. Official Code Section 1-1163.10.

The audit of the Charles Allen for Ward 6 Principal Campaign Committee covered the period June 11, 2014 through July 31, 2015. The Committee's Report of August 10, 2014, disclosed a beginning cash balance of \$20,907.60. During the period of the pre-audit (desk) review, the Reports filed by the Committee reflected total aggregated receipts of \$9,319.91 and total aggregated expenditures of \$30,227.51, and an ending cash balance of \$0.00. Receipts and expenditures per **audit** were \$9,319.91 and \$30,227.51, respectively, with an ending cash balance of \$0.00.

## **B. KEY PERSONNEL**

The Committee filed its Statement of Organization on October 11, 2013, appointing Patrick Johnson, Treasurer, and Laura Marks, Chairperson. The acceptance of Treasurer and Chairperson Forms were also filed on October 11, 2013.

**C. SCOPE**

The audit procedures performed included a verification and/or examination of:

1. The mathematical accuracy of the Reports of Receipts and Expenditures filed with the Director, Office of Campaign Finance, during the period audited;
2. The total reported receipts and expenditures and individual transactions with source documents;
3. The conformity with the contribution limitation as mandated by D.C. Official Code Section 1-1163.33(5);
4. The Committee's debts and obligations;
5. The proper categorization of the Committee's receipts and expenditures; and,
6. The review procedures as deemed necessary under the circumstances.

**II. AUDITOR'S STATEMENT**

There were no findings noted during the conduct of the audit fieldwork.

We, therefore, recommend that this report be issued as the "Audit Report". We have determined that the reports and statements, filed by the **Charles Allen for Ward 6** Principal Campaign Committee, with the Director, Office of Campaign Finance, are in compliance with D.C. Official Code Section 1-1163.09.

November 20, 2015  
Date

Renee Coleman-Rollins  
Renee Coleman-Rollins  
Audit Manager

**AUDIT APPROVED FOR RELEASE:**

November 20, 2015  
Date

Cecily E. Collier-Montgomery  
Cecily E. Collier-Montgomery  
Director  
Office of Campaign Finance