

**BEFORE THE OFFICE OF CAMPAIGN FINANCE
DISTRICT OF COLUMBIA BOARD OF ELECTIONS AND ETHICS
FRANK D. REEVES MUNICIPAL BUILDING
2000-14th STREET, N.W., SUITE 433
WASHINGTON, D.C. 20009
(202) 671-0550**

IN THE MATTER OF

Joshua Boots
Assessment Specialist
Office of the State Superintendent of Education

) Date: September 23, 2011
)
) Docket No.: 11F-030
)
)

ORDER

Statement of the Case

This matter came before the Office of Campaign Finance (hereinafter OCF), Office of the General Counsel following a determination by its Public Information and Records Management Division that pursuant to D.C. Official Code §1-1106.02 (2001 Edition), JOSHUA BOOTS, Assessment Specialist, Office of the State Superintendent of Education, failed to timely file a Financial Disclosure Statement (hereinafter FDS) for calendar year 2010 on or before May 15, 2011 as required by D.C. Official Code §1-1106.02, and by the extended filing date sanctioned by OCF on June 10, 2011.

By Notice of Hearing, Statement of Violations and Order of Appearance dated August 2, 2011, OCF ordered JOSHUA BOOTS to appear at a scheduled hearing on August 16, 2011 and show cause why he should not be found in violation of the D.C. Campaign Finance Reform and Conflict of Interest Act of 1974, as amended by D.C. Official Code §§1-1101.01 et seq., and fined accordingly.

Summary of Evidence

On August 16, 2011, JOSHUA BOOTS (hereinafter Respondent), appeared pro se to answer to the above-cited allegation(s). Latia Gaskins, Clerical Assistant appeared on behalf of OCF. Ms. Gaskins testified that the Respondent failed to timely file the required FDS for calendar year 2010 on or before May 15, 2011 and failed to file by the extended filing date June 10, 2011; and therefore, Respondent is in violation of the D.C. Official Code §1-1106.02 (2001 Edition).

Respondent is a first-time FDS filer and was unaware that he was required to file a FDS with OCF. Respondent testified that he separated from his agency employer in February 2011 and was not informed by his agency administrator that he must file a FDS with OCF within 90 days following his separation from the agency. However, upon receipt of notice from OCF, Respondent took immediate action to correct his noncompliance by filing the requirement FDS for calendar year 2010 on August 16, 2011. In addition, Respondent also filed a FDS for calendar year 2011 on August 16, 2011 as his final FDS report.

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Respondent is a first-time FDS filer, thus has no history of FDS filing delinquencies. Respondent is currently in compliance with the statute.

Findings of Fact

Having reviewed the allegations and the record herein, I find that:

1. Respondent served as Assessment Specialist within Office of the State Superintendent of Education.
2. Respondent failed to file a FDS with OCF for calendar year 2010 on or before the extended date June 10, 2011.
3. On August 16, 2011, Respondent filed the required FDS electronically.
4. Respondent filed the required FDS forty-six (46) days pas the extended due date, June 10, 2010.
5. Respondent provided credible testimony for the untimely filing in that Respondent is a first-time FDS filer and was unaware that he was required to file a FDS with OCF. Respondent testified that he separated from his agency employer in February 2011 and was not informed by is agency administrator that he must file a FDS with OCF within 90 days following his separation from the agency.
6. Respondent does not have a history of FDS filing delinquencies and is currently in compliance with the statute.

Conclusions of Law

Based upon the record provided by OCF, I therefore conclude:

1. Respondent violated D.C. Official Code §1-1106.02.
2. The penalty established at D.C. Official Code §1-1103.05(b)(3), and 3 DCMR §§3711.2(aa), 3711.2 and 3711.4 (March 2007, as amended) for failure to timely file a Financial Disclosure Statement required by D.C. Official Code §1-1106.02 is a fine of \$50.00 per day for each business day subsequent to the due date.
3. In accordance with D.C. Official Code §1-1103.05(b)(3), the respondent may be fined a maximum of \$2,000.00 for failing to timely file a Financial Disclosure Statement.
4. For good cause shown pursuant to 3 DCMR §3711.6, the Director of Campaign Finance (Director) may modify, rescind, dismiss or suspend any fine.

5. Respondent provided a credible explanation of the untimely filing in that Respondent is a first-time FDS filer and was unaware that he was required to file a FDS with OCF. Respondent testified that he separated from his agency employer in February 2011 and was not informed by his agency administrator that he must file a FDS with OCF within 90 days following his separation from the agency.
6. Respondent does not have a history of FDS filing delinquencies and is currently in compliance with the statute.

Recommendation

In view of the foregoing and information included in the record, a maximum fine of \$2,000.00 is appropriate for Respondent's failure to timely file a Financial Disclosure Statement; however, **I HEREBY RECOMMEND** that the Director **SUSPEND** the imposition of this fine in this matter.

Date

Kalvanetta K. Peete
Hearing Officer

Concurrence

In view of the foregoing, I hereby concur with the Recommendation.

Date

William O. SanFord
General Counsel

ORDER OF THE DIRECTOR

IT IS ORDERED that the fine is hereby suspended in this matter.

Date

Cecily E. Collier-Montgomery
Director

SERVICE OF ORDER

I HEREBY CERTIFY that a copy of the foregoing has been furnished to JOSHUA BOOTS this _____ day of September, 2011 by US Mail and/or Certified Mail.

NOTICE

Pursuant to 3DCMR §3711.5, any fine imposed by the Director shall become effective on the 16th day following the issuance of a decision and order, if the respondent does not request an appeal of this matter. If applicable, within 10 days of the effective date of this order, please make a check or money order payable to the D.C. Treasurer, c/o Office of Campaign Finance, Suite 433, 2000 Fourteenth Street, N.W., Washington, D.C. 20009.