

**BEFORE THE OFFICE OF CAMPAIGN FINANCE  
DISTRICT OF COLUMBIA BOARD OF ELECTIONS AND ETHICS  
FRANK D. REEVES MUNICIPAL BUILDING  
2000-14<sup>th</sup> STREET, N.W., SUITE 420  
WASHINGTON, D.C. 20009  
(202) 671-0550**

**IN THE MATTER OF**

Aaron Adade  
Program Manager  
Department of Health  
2921 Cheverly Oaks St.  
Cheverly, MD 20785

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DATE: November 20, 2009

DOCKET NO.: F09-001

**ORDER**

**Statement of the Case**

This matter came before the Office of Campaign Finance (hereinafter OCF) Office of the General Counsel following a determination by its Public Information and Records Management Division, that pursuant to D.C. Official Code §1-1106.02 (2001 Edition), Aaron Adade, Program Manager, Department of Health, failed to timely file, a Financial Disclosure Statement for calendar year 2008, on or before May 15, 2009, as required by D.C. Official Code §1-1106.02, and also failed to file on or before the OCF sanctioned extended filing deadline of June 20, 2008.

By Notice of Hearing, Statement of Violations and Order of Appearance dated August 13, 2009, OCF ordered Aaron Adade (hereinafter Respondent), to appear at a scheduled hearing on August 31, 2009, and show cause why he should not be found in violation of the D.C. Campaign Finance Reform and Conflict of Interest Act of 1974, as amended by D.C. Official Code §§1-1101.01 et seq., and fined accordingly.

**Summary of Evidence**

On August 20, 2009, Respondent filed an FDS for calendar year 2008, and an affidavit stating that he was terminated from his employment with the District of Columbia in December 2008. Respondent further averred that he was never advised of any financial disclosure requirement upon being terminated from his employment with the District of Columbia. Upon being advised of his noncompliance, Respondent took action to correct his noncompliance in advance of his hearing date. Respondent's FDS record reveals no delinquent FDS submissions to date.

**Findings of Fact**

Having reviewed the allegations and the record herein, I find:

1. Respondent was a Program Manager, Department of Health.
2. Respondent was required to file a Financial Disclosure Statement with OCF for calendar year 2008, on or before May 15, 2009.
3. Respondent filed the required Financial Disclosure Statement on August 20, 2009.
4. Respondent has no history of FDS filing delinquencies.
5. Respondent provided a credible explanation for the filing delinquency in that Respondent believes he was never advised of any FDS requirement upon terminating his employment with the District of Columbia; and, upon being advised, Respondent took action to correct his noncompliance in advance of his hearing date.
6. Respondent is currently in compliance with the statute.

**Conclusions of Law**

Based upon the record provided by OCF, I therefore conclude:

1. Respondent violated D.C. Official Code §1-1106.02.
2. The penalty established at D.C. Official Code §1-1103.05(b)(3), and 3 DCMR §§3711.2(aa), 3711.2 and 3711.4 (March 2007, as amended) for failure to timely file a Financial Disclosure Statement required by D.C. Official Code §1-1106.02 is a fine of \$50.00 per day for each business day subsequent to the due date.
3. In accordance with D.C. Official Code §1-1103.05(b)(3), the respondent may be fined a maximum of \$2,000.00 for failing to timely file a Financial Disclosure Statement.
4. For good cause shown pursuant to 3 DCMR §3711.6, the Director of Campaign Finance (Director) may modify, rescind, dismiss or suspend any fine.

5. Respondent's explanation for failing to timely file is credible in that Respondent believes he was never advised of any FDS requirement upon terminating his employment with the District of Columbia, and it is more likely than not that Respondent did not receive an exit interview; upon being advised, Respondent took action to correct his noncompliance in advance of his hearing date; this, coupled with Respondent's untarnished FDS filing record provides a credible basis for Respondent's failure to timely file.

**Recommendation**

In view of the foregoing and information included in the record, I hereby recommend that the Director suspend the imposition of the fine in this matter.

\_\_\_\_ 11/20/09 \_\_\_\_\_  
**Date**

\_\_\_\_\_  
**Dexter U. Nutall**  
**Hearing Officer**

**Concurrence**

In view of the foregoing, I hereby concur with the Recommendation.

\_\_\_\_ 11/20/09 \_\_\_\_\_  
**Date**

\_\_\_\_\_  
**Kathy S. Williams**  
**General Counsel**

**ORDER OF THE DIRECTOR**

**IT IS ORDERED** that the fine in this matter be hereby suspended.

\_\_\_\_ 11/20/09 \_\_\_\_\_  
**Date**

\_\_\_\_\_  
**Cecily E. Collier-Montgomery**  
**Director**

**SERVICE OF ORDER**

This is to certify that I have served a true copy of the foregoing Order on Aaron Adade, by regular mail, on November 20, 2009.

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cc: Dr. Pierre Vigilance  
Office of the Chief Financial Officer  
1350 Pennsylvania Avenue, NW, Suite 203  
Washington, DC 20004

**NOTICE**

Pursuant to 3DCMR §3711.5, any fine imposed by the Director shall become effective on the 16<sup>th</sup> day following the issuance of a decision and order, if the respondent does not request an appeal of this matter. If applicable, within 10 days of the effective date of this order, please make a check or money order payable to the D.C. Treasurer, c/o Office of Campaign Finance, Suite 420, 2000 Fourteenth Street, N.W., Washington, D.C. 20009.